

### PATENT COOPERATION TREATY

# **PCT**

INTERNATIONAL PRELIMINARY EXAMINATION REPORT 18 MAY 2004

(PCT Article 36 and Rule 70)

| Applicant's or agent's file reference 021112PC/IDJ  | FOR FURTHER ACTION   | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416). |  |  |  |  |
|---|--|--|--|--|--|--|
| International Application No.   | International Filing Da (day/month/year)   | ate .  | Priority Date (day/month/year)           |  |  |  |
| PCT/AU2003/001347   | 10 October 2003  | ·  | 11 October 2002                          |  |  |  |
| International Patent Classification (IPC) o   | r national classification a  | ind IPC  |  |  |  |  |
| Int. Cl. 7 A61K 31/7008, 31/70  |  |  |  |  |  |  |
| Applicant   |  |  |  |  |  |  |
| ALCHEMIA LIMITED et al  |  |  |  |  |  |  |
|   | •  |  |  |  |  |  |
| 1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and  |  |  |  |  |  |  |
| is transmitted to the applicant according to Article 36.  |  |  |  |  |  |  |
| 2. This REPORT consists of a total of   | 3 sheets, including this   | cover sheet.   |  |  |  |  |
| T m :   | Aby ANNEYES is she   | ets of the description   | , claims and/or drawings which have been |  |  |  |
| amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT). |  |  |  |  |  |  |
|   |  |  |  |  |  |  |
| These annexes consist of a tota   | al of sheet(s).  |  |  |  |  |  |
| 3. This report contains indications relating to the following items:  |  |  |  |  |  |  |
| I X Basis of the report   |  |  |  |  |  |  |
| II Priority   | Priority   |  |  |  |  |  |
| III Non-establishment of  | III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability   |  |  |  |  |  |
| IV Lack of unity of inver   | Lack of unity of invention   |  |  |  |  |  |
| V X Reasoned statement u citations and explanat   | and the second s |  |  |  |  |  |
| VI Certain documents cit  | ocuments cited   |  |  |  |  |  |
| VII Certain defects in the  | Certain defects in the international application   |  |  |  |  |  |
| VIII Certain observations   | VIII Certain observations on the international application   |  |  |  |  |  |
|   |  | D f. completion  | of the report                            |  |  |  |
| Date of submission of the demand  |  | Date of completion of the report  11 May 2004  |  |  |  |  |
| 27 April 2004  Name and mailing address of the IPEA/AU  |  | Authorized Officer   |  |  |  |  |
| AUSTRALIAN PATENT OFFICE  |  | e 120 0  |  |  |  |  |
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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/AU2003/001347

|   | Basis of the report   |  |  |  |
|---|---|--|--|--|
| Wi  | ith regard to the elements of the international application:*   |  |  |  |
| X the international application as originally filed.  |   |  |  |  |
| F   | the description, pages, as originally filed,  |  |  |  |
| -   | pages, filed with the demand,   |  |  |  |
|   | pages, received on with the letter of   |  |  |  |
| Г   | the claims, pages, as originally filed,   |  |  |  |
| _   | pages, as amended (together with any statement) under Article 19,   |  |  |  |
|   | pages , filed with the demand,  |  |  |  |
|   | pages, received on with the letter of   |  |  |  |
| Γ   | the drawings, pages, as originally filed,   |  |  |  |
| _   | pages , filed with the demand,  |  |  |  |
| •   | pages, received on with the letter of   |  |  |  |
| the sequence listing part of the description:   |   |  |  |  |
| 1   | pages , as originally filed   |  |  |  |
|   | pages, filed with the demand  |  |  |  |
|   | pages, received on with the letter of   |  |  |  |
| 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  These elements were available or furnished to this Authority in the following language which is:  the language of a translation furnished for the purposes of international search (under Rule 23.1(b)). |   |  |  |  |
| į.  | the language of publication of the international application (under Rule 48.3(b)).  |  |  |  |
| <br>  | the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2                 |  |  |  |
| and/or 55.3).  3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:  contained in the international application in written form.   |   |  |  |  |
|   | filed together with the international application in computer readable form.  |  |  |  |
|   | furnished subsequently to this Authority in written form.   |  |  |  |
|   | furnished subsequently to this Authority in computer readable form.   |  |  |  |
|   | The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the                       |  |  |  |
|   | The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished |  |  |  |
| 4.  | The amendments have resulted in the cancellation of:  |  |  |  |
| ••  | the description, pages  |  |  |  |
|   | the claims, Nos.  |  |  |  |
| i   | the drawings, sheets/fig.   |  |  |  |
| 5.  | This report has been established as if (some of) the amendments had not been made, since they have been considered to                 |  |  |  |
| * Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).  |   |  |  |  |
|   | and annexed to this report  |  |  |  |
| ** Any replacement sheet containing such amenaments must be rejerved to three   |   |  |  |  |



### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/AU2003/001347

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

|                               |             | <del></del> |
|-------------------------------|-------------|-------------|
| Statement                     |             |             |
| Novelty (N)                   | Claims 1-38 | YES         |
| 11010213 (11)                 | Claims      | NO          |
| Inventive step (IS)           | Claims 1-38 | YES         |
|                               |             | NO          |
|                               | Claims      | YES         |
| Industrial applicability (IA) | Cláims 1-38 |             |
| •                             | Claims      | NO          |

Citations and explanations (Rule 70.7)

The three citations listed in the International Search Report do not affect the novelty or inventiveness of the present claims.

The first citation is rendered moot by the proviso's contained in the definition of General Formula I, the second and third citations merely describe compounds that modulate the activity of a GPCR without describing the compounds used in the present application.

The industrial applicability of the claims is not in doubt.